EFS-Web Receipt date: 08/23/2006

10598285 - GAU: 2835

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number			
INFORMATION DIGGLOCULE	Filing Date		6/21/07	
INFORMATION DISCLOSURE	First Named Inventor	Gerold Gruendler		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
( notice capillosion andsi or or it not)	Examiner Name	Hot	ffberg	
	Attorney Docket Number	er	I431.174.101/FIN565PCT/US	

			Remove			
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date  Name of Patentee or Applican of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	4825337		1989-04-25	Karpman	
	2	5161087		1992-11-03	Frankeny et al.	
	3	5966287		1999-10-12	Lofland et al.	
	4	6119765		2000-09-19	Lee	
	5	6188576		2001-02-13	Ali et al.	
	6	6233150		2001-05-15	Lin et al.	
	7	6266244		2001-07-24	Guthrie	
	8	6466441		2002-10-15	Suzuki	

= 5-7/	eb H	eceipt date: 08/2	23/201	JApplic	ation N	umber			105	98285 -	GAU: 2	835
INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)			Filing Date				6/21/07					
			First Named Inventor Gero			d Gruendler						
			Art Unit									
			Examiner Name				Hoffberg					
			Attorney Docket Number			er	I431.174.101/FIN	N565PC	T/US			
	I	T							T			
	9	6424532		2002-07-23 Kawamura								
	10	6535387		2003-03	03-03-18 Summers et al.							
If you wis	h to ac	 dd additional U.S. Pater	nt citatio	n inform	ation pl	ease click	the A	dd hutton		Add		
youo.		da daditorial o.o. i dioi				CATION P				Remove		
			0.0	7 (1 = 1 (1 )	/							
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publica Date	tion	Name of Patentee or Applicant of cited Document			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			
	1	20010000684		2001-05	5-03	Duesman et al.						
If you wis	h to ac	⊔ dd additional U.S. Publi	⊥ shed Ap	plication	citation	ı n i <b>n</b> formati	on ple	ase click the Add	L d buttor	n. Add		
						ENT DOC				Remove		
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>		Kind Code <sup>4</sup>	Publication Date	on /	Name of Patente Applicant of cited Document	e or	where Rele	or Relevant	T5
	1	0926939	EP			2003-03-2	26 ∣	Denso Corporation	1			
If you wis	h to ac	⊔ dd additional Foreign Pa	atent Do	cument	ı citation	informatio	n plea	ase click the Add	button	Add		
			NON	I-PATEN	NT LITE	RATURE	DOC	UMENTS		Remove		
Examiner Initials*	Cite No	(book, magazine, journ	author (in CAPITAL LETTERS), title of the article (when appropriate), title of the introduction in CAPITAL LETTERS), title of the introduction in CAPITAL LETTERS), to country where published.				T5					
	1											
lf var. vide	h # = = :	 	. I:44.	- d				والمراد والمراد والمراد والمراد والمراد	الدامد .	utton Ade	۱	
ii you Wisi	ทเบสต	dd additional non-paten	ı nteratu	re aocul	nent cit	auon mior	เกลเเปเ	i please click the	: Aua Di	ulloni zu	<b>-</b>	

EFS-Web Receipt date: 08/23/200	Application Number		10598285 - GAU: 2835
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date		
	First Named Inventor	Gero	d Gruendler
	Art Unit		
	Examiner Name		
	Attorney Docket Numb	er	I431.174.101/FIN565PCT/US

EXAMINER SIGNATURE					
Examiner Signature	/Robert J. Hoffberg/	Date Considered	05/13/2008		
		·			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

EFS-Web Receipt date: 08/23/200	10598285 - GAU: 2835			
INFORMATION DISCLOSURE	Filing Date			
	First Named Inventor Gero		old Gruendler	
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit			
(Not for Submission under 07 Of K 1.00)	Examiner Name			
	Attorney Docket Number		I431.174.101/FIN565PCT/US	

	CERTIFICATION STATEMENT								
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR	<b>!</b>								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached ce	rtification statement.							
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	٦.						
<b>√</b>	None								
	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Signature /Steven E. Dicke/ Date (YYYY-MM-DD) 2006		2006-08-23							
Nan	ne/Print	Steven E. Dicke	Registration Number	38,431					

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

EFS-Web Receipt date: 08/23/2006 10598285 - GAU: 2835

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.